Three-fold symmetric polynomials with an algebraic classical behaviour

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(joint work with W. Van Assche)

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Definition A monic Orthogonal Polynomial Sequence (OPS)  $\{P_n\}_{n\geq 0}$  is defined by

$$\langle u_0, P_n P_k \rangle = N_n \delta_{n,k}$$
, with  $N_n \neq 0$ .

where  $u_0$  is the first element of the corresponding dual sequence.

▶ Equivalently,  $\{P_n\}_{n \ge 0}$  is an OPS for  $u_0$  iff

$$\langle u_0, x^m P_n \rangle = \begin{cases} 0 & \text{if } n > m, \\ N_n & \text{if } n = m, \text{ for } n \ge 0. \end{cases}$$

▶ It always satisfies the second order recurrence relation

$$P_{n+1}(x) = (x - \beta_n)P_n(x) - \gamma_n P_{n-1}(x)$$

with  $P_0 = 1$  and  $P_{-1} = 0$  and

$$eta_n = rac{\langle u_0, x P_n^2 
angle}{\langle u_0, P_n^2 
angle} \qquad ext{and} \qquad \gamma_{n+1} = rac{\langle u_0, P_{n+1}^2 
angle}{\langle u_0, P_n^2 
angle} 
eq 0, \ n \in \mathbb{N}$$

Consider a sequence  $\{\widetilde{P}_{\vec{n}}\}$  with  $\vec{n} = (n_1, n_2)$  and deg  $\widetilde{P}_{\vec{n}}(x) = n_1 + n_2$  such that

$$\begin{split} \langle u_0, x^k \widetilde{P}_{\vec{n}}(x) \rangle &= \int_{\Delta_1} x^k \widetilde{P}_{\vec{n}}(x) W_0(x) \mathrm{d}x = 0 \ , \ k = 0, 1, \dots, n_1 - 1 \\ \langle u_1, x^k \widetilde{P}_{\vec{n}}(x) \rangle &= \int_{\Delta_2} x^k \widetilde{P}_{\vec{n}}(x) W_1(x) \mathrm{d}x = 0 \ , \ k = 0, 1, \dots, n_2 - 1 \end{split}$$

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Now, if we construct a sequence  $\{\widetilde{P}_n\}_{n\geq 0}$  such that

$$P_{2n}(x) = \widetilde{P}_{n,n}(x)$$
$$P_{2n+1}(x) = \widetilde{P}_{n,n+1}(x)$$

then  $\{P_n\}_{n\geq 0}$  is a 2-OPS.

#### Definition

Consider a vector linear functional  $\mathbf{u} = (u_0, u_1)$  defined on  $\mathcal{P}$  in  $\mathbb{C}$ . The sequence of polynomials  $\{P_n\}_{n\geq 0}$ , where deg  $P_n = n$ , is said to be 2-orthogonal to  $\mathbf{u} = (u_0, u_1)$  if

$$\langle u_0, x^m P_n \rangle = \begin{cases} 0 & \text{for } n \ge 2m+1\\ N_{2m} \ne 0 & \text{for } n = 2m \end{cases}$$
(1)

$$< u_1, x^m P_n >= \begin{cases} 0 & \text{for } n \ge 2m+2\\ N_{2m+1} \ne 0 & \text{for } n = 2m+1 \end{cases}$$
 (2)

#### 2-orthogonal polynomials

The monic 2-OPS  $\{P_n\}_{n\geq 0}$  for  $\mathbf{u} = (u_0, u_1)$  satisfies a third order recurrence relation (see Van Iseghem'88, Maroni'89)

$$P_{n+1}(x) = (x - \beta_n) P_n(x) - \alpha_n P_{n-1}(x) - \gamma_{n-1} P_{n-2}(x)$$
(3)

with  $P_0(x) = 1$ ,  $P_1(x) = x - \beta_0$  and  $P_2(x) = (x - \beta_1)P_1(x) - \alpha_1$ .

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Expressions for the recurrence coefficients follow immediately from the definition. For instance,

$$\gamma_{2n+1} = \frac{\langle u_0, x^{n+1} P_{2n+2} \rangle}{\langle u_0, x^n P_{2n} \rangle}, \quad \gamma_{2n+2} = \frac{\langle u_1, x^{n+1} P_{2n+3} \rangle}{\langle u_1, x^n P_{2n+1} \rangle}, \quad n \ge 0.$$

Conversely, we also have

$$N_{2n} := \langle u_0, x^{n+1} P_{2n+2} \rangle = \prod_{k=0}^n \gamma_{2k+1}$$

and

$$N_{2n+1} := \langle u_1, x^{n+1} P_{2n+3} \rangle = \prod_{k=0}^n \gamma_{2k+2}, \quad \text{for} \quad n \ge 0.$$

n

$$P_{n+1}(x) = (x - \beta_n)P_n(x) - \alpha_n P_{n-1}(x) - \gamma_{n-1}P_{n-2}(x)$$

with

$$\begin{split} \beta_n &= 3n^2 + (2\alpha + 2\beta + 3)n + (1 + \alpha)(1 + \beta) \\ \alpha_n &= n(3n + \alpha + \beta)(n + \alpha)(n + \beta), \ n \geq 1, \\ \gamma_n &= n(n+1)(n + \alpha + 1)(n + \alpha)(n + \beta + 1)(n + \beta), \ n \geq 2, \end{split}$$

They satisfy the 3rd order recurrence relation

$$x^{2}P_{n}^{\prime\prime\prime} + (3 + \alpha + \beta)xP_{n}^{\prime\prime} + ((\alpha + 1)(\beta + 1) - x)P_{n}^{\prime} = -nP_{n}$$

and are 2-OPS for  $U = (u_0, u_1)$  satisfying

$$x^{2}u_{0}^{\prime\prime}-(lpha+eta-1)xu_{0}^{\prime}-(x-lphaeta)u_{0}=0$$
 ,  $(lpha+1)(eta+1)u_{1}=-(xu_{0})^{\prime}$ 

Such vector functional  $U = (u_0, u_1)$  admits the following integral representation

$$\begin{array}{lll} < u_0, f(x) > & = & \frac{2}{\Gamma(\alpha+1)\Gamma(\beta+1)} \int_0^{+\infty} f(x) x^{(\alpha+\beta)/2} \mathcal{K}_{\alpha-\beta}(2\sqrt{x}) \mathrm{d}x, \\ \\ < u_1, f(x) > & = & \frac{2}{\Gamma(\alpha+1)\Gamma(\beta+1)} \int_0^{+\infty} f(x) \left( x^{(\alpha+\beta)/2} \mathcal{K}_{\alpha-\beta}(2\sqrt{x}) \right)' \mathrm{d}x, \end{array}$$

(See Ben Cheikh&Douak'00 and Van Assche&Yakubovich'00.)

#### Example 2: 2-orthogonal polynomials with constant rec coef

The sequence of polynomials  $\{P_n(x)\}_{n\geq 0}$  satisfying the recurrence relation

$$P_{n+1}(x) = xP_n(x) - \frac{4}{27}P_{n-2}(x)$$

is 2-orthogonal with respect to  $U = (u_0, u_1)$  such that

$$\begin{cases} (x^3 - 1)u_0'' + \frac{3}{2}x^2u_0' - \frac{1}{2}xu_0 = 0\\ u_1 = 3(x^3 - 1)u_0' - \frac{3}{2}x^2u_0 \end{cases}$$

Such vector functional admits an integral representation on the real line as follows

$$< u_0, f(x) > = \int_0^1 f(x) \frac{9\sqrt{3}}{4\pi} \left[ (1 + \sqrt{1 - x^3})^{1/3} - (1 - \sqrt{1 - x^3})^{1/3} \right] dx + \int_0^{+\infty} f(x) 3e^{-x} \left[ \lambda_1 \sqrt{x} \cos(\sqrt{3}x) + \lambda_2 x^2 \sin(\sqrt{3}x) \right] dx, < u_1, f(x) > = \int f(x) \mathcal{U}_1(x) dx,$$

(See Douak&Maroni'97 for further details.)

#### Example 3: multiple orthogonal polynomials with exponential weights

Consider the monic polynomials  $P_{n,m}$  of degree n + m for which

$$\int_{\Gamma_0 \cup \Gamma_1} x^j P_{n,m}(x) \exp(-x^3 + tx) dx = 0, \ j = 0, \dots, n-1,$$
$$\int_{\Gamma_0 \cup \Gamma_2} x^j P_{n,m}(x) \exp(-x^3 + tx) dx = 0, \ j = 0, \dots, m-1$$

with  $\Gamma_k = \{z \in \mathbb{C} : \arg z = e^{2k\pi i/3}\}, k = 0, 1, 2.$ (see Van Assche & Filipuk & Zhang (2015))

Rodrigues' formula:

$$e^{-x^{3}+tx}P_{n,n+m}(x) = \frac{(-1)^{n}}{3^{n}}\frac{d^{n}}{dx^{n}}\left(e^{-x^{3}+tx}P_{0,m}(x)\right)$$
$$e^{-x^{3}+tx}P_{n+m,n}(x) = \frac{(-1)^{n}}{3^{n}}\frac{d^{n}}{dx^{n}}\left(e^{-x^{3}+tx}P_{m,0}(x)\right)$$

where  $P_{m,0}$  and  $P_{0,m}$  are orthogonal polynomials... and  $\{P_{k,k}\}_k$  is 2-OPS. (Case t = 0 already in Pólya and Szegő (1925). Special case of Gould-Hopper polynomials (1962).)

### 3-fold symmetric (not necessarily 2-orthogonal) polynomials

#### Definition

A monic polynomial sequence  $\{B_n\}_{n\geq 0}$  is 3-fold symmetric if and only if

$$B_n(\mathrm{e}^{\frac{2i\pi}{3}}x) = \mathrm{e}^{\frac{2in\pi}{3}}B_n(x)$$

and

$$B_n(\mathrm{e}^{\frac{4i\pi}{3}}x)=\mathrm{e}^{\frac{4in\pi}{3}}B_n(x),\ n\geq 0.$$



In other words, this is to say that there exist three sequences  $\{B_n^{[j]}\}_{n\geq 0}$  with  $j\in\{0,1,2\}$  such that

$$B_{3n}(x) = B_n^{[0]}(x^3),$$
  

$$B_{3n+1}(x) = x B_n^{[1]}(x^3),$$
  

$$B_{3n+2}(x) = x^2 B_n^{[2]}(x^3),$$

(The sequences  $\{B_n^{[j]}\}_{n\geq 0}$  are the components of the cubic decomposition of the 3-fold symmetric sequence  $\{B_n\}_{n\geq 0}$ .)

(see Barrucand&Dickinson'66)

Whilst we are dealing with 3-fold symmetric and 2-orthogonal sequences, we recall the following result.

Theorem (Douak & Maroni'92)

Let  $\{P_n\}_{n\geq 0}$  be a 2-orthogonal polynomial sequence for  $U = (u_0, u_1)$ . Then,  $\{P_n\}_{n\geq 0}$  is 3-fold symmetric iff if satisfies the third order recurrence relation

$$P_{n+1}(x) = xP_n(x) - \gamma_{n-1}P_{n-2}(x), \ n \ge 2,$$

with  $P_0(x) = 1$ ,  $P_1(x) = x$  and  $P_2(x) = x^2$ .

Moreover, we have

#### Lemma (Douak & Maroni'92)

If the a 3-fold symmetric sequence  $\{P_n\}_{n\geq 0}$  is 2-orthogonal, then the three components in the cubic decomposition of  $\{P_n\}_{n\geq 0}$  are also 2-orthogonal fulfilling the recurrence relations:

$$P_{n+1}^{[k]}(x) = (x - \beta_n^{[k]})P_n^{[k]}(x) - \alpha_n^{[k]}P_{n-1}^{[k]}(x) - \gamma_{n-1}^{[k]}P_{n-2}^{[k]}(x),$$

where

$$\begin{array}{l} \beta_n^{[k]} = \gamma_{3n-1+k} + \gamma_{3n+k} + \gamma_{3n+1+k}, \ n \ge 0, \\ \alpha_n^{[k]} = \gamma_{3n-2+k}\gamma_{3n+k} + \gamma_{3n-1+k}\gamma_{3n-3+k} + \gamma_{3n-2+k}\gamma_{3n-1+k}, \ n \ge 1, \\ \gamma_n^{[k]} = \gamma_{3n-2+k}\gamma_{3n+k}\gamma_{3n+2+k} \ne 0, \ n \ge 2, \end{array}$$

for each k = 0, 1, 2.

**Theorem.** (Aptekarev *et al.*'00) If  $\gamma_n > 0$  for  $n \ge 1$  in

$$P_{n+1}(x) = xP_n(x) - \gamma_{n-1}P_{n-2}(x),$$

then  $\{P_n\}_{n\geq 0}$  is a 2-OPS w.r.t. the vector of linear functionals  $(u_0, u_1)$  and

$$\langle u_0, f(x) \rangle = \int_S f(x) \mathrm{d}\mu_0(x) \tag{4}$$

$$\langle u_1, f(x) \rangle = \int_S f(x) \mathrm{d}\mu_1(x)$$
 (5)

where S represents the starlike set

$$S := \bigcup_{k=0}^{2} \Gamma_{k}$$
 with  $\Gamma_{k} = [0, e^{2\pi i k/3}\infty).$ 

and the measures have a common support which is a subset of S and are invariant under rotations of  $2\pi/3$ .

**Theorem.** (Ben Romdhane'08) Let  $\{P_n\}_{n\geq 0}$  be a 2-OPS satisfying

$$P_{n+1}(x) = xP_n(x) - \gamma_{n-1}P_{n-2}(x).$$

If  $\gamma_n > 0$ , then the following statements hold (a) If x is a zero of  $P_{3n+j}$ , then  $\omega^k x$  are also zeros of  $P_{3n+j}$  with  $\omega = e^{2\pi i/3}$ (b) 0 is a zero of  $P_{3n+j}$  of multiplicity j when j = 1, 2(c)  $P_{3n+i}$  has n distinct positive real zeros

$$0 < x_{n,1}^{(j)} < \ldots < x_{n,n}^{(j)}$$

(d) Between two real zeros of  $P_{3n+j+3}$  there exist only one zero of  $P_{3n+j+2}$  and only one zero of  $P_{3n+j+1}$ , ie,

$$x_{n,k}^{(j+2)} < x_{n,k+1}^{(j)} < x_{n,k+1}^{(j+1)} < x_{n,k+1}^{(j+2)}$$

**Theorem.** (AL & Van Assche'18) Let  $\{P_n\}_{n\geq 0}$  be a 2-OPS satisfying

$$P_{n+1}(x) = xP_n(x) - \gamma_{n-1}P_{n-2}(x).$$

If  $\gamma_n > 0$  and, additionally,

$$\gamma_{2n} = c_0 n^{lpha} + o(n^{lpha})$$
 and  $\gamma_{2n+1} = c_1 n^{lpha} + o(n^{lpha})$ 

for large n, with  $c_0,c_1>0$  and  $\alpha\geq 0,$  then the largest zero in absolute value  $|x_{n,n}|$  behaves as

$$|x_{n,n}| \leq \frac{3}{2^{2/3}} c^{1/3} n^{\alpha/3} + o(n^{\alpha/3}), \ n \geq 1,$$
(6)

where  $c = \max\{c_0, c_1\}$ .

### 3-fold symmetric 2-OPS

Proof. Consider the Hessenberg matrix

Hence,

$$\mathbf{H}_{n} \begin{pmatrix} P_{0}(x) \\ P_{1}(x) \\ \vdots \\ P_{n-1}(x) \end{pmatrix} = x \begin{pmatrix} P_{0}(x) \\ P_{1}(x) \\ \vdots \\ P_{n-1}(x) \end{pmatrix} - P_{n}(x) \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 1 \end{pmatrix}$$

and each zero of  $P_n(x)$  is an eigenvalue of the matrix  $\mathbf{H}_n$ .

# 3-fold symmetric 2-OPS (Proof - upper bounds for the zeros)

The spectral radius of the matrix  $\mathbf{H}_{n}$ ,

$$\rho(\mathbf{H}_n) = \max\{|\lambda|: \lambda \text{ is an eigenvalue of } \mathbf{H}_n\},$$

is bounded from above by  $||\mathbf{H}_n||$  where  $||\cdot||$  denotes a matrix norm. In particular

$$||\mathbf{H}_n||_{\mathcal{S}} = ||\mathcal{S}^{-1}\mathbf{H}_n\mathcal{S}||_{\infty} = \max_{1 \le i \le n} \left\{ \sum_{j=1}^n \left| (\mathcal{S}^{-1}\mathbf{H}_n\mathcal{S})_{i,j} \right| \right\},$$

where  $S = \text{diag}(d_1, \ldots, d_k, \ldots, d_n)$  is non-singular matrix and  $(S^{-1}\mathbf{H}_n S)_{i,j}$  if the *i*th row and *j*th column entry of the product matrix  $S^{-1}\mathbf{H}_n S$  we obtain

$$||\mathbf{H}_{n}||_{S} = \max\left\{\frac{d_{2}}{d_{1}}, \frac{d_{3}}{d_{2}}, \frac{d_{4}+d_{1}\gamma_{1}}{d_{3}}, \dots, \frac{d_{k}+d_{k-3}\gamma_{k-3}}{d_{k-1}}, \dots, \frac{d_{n-2}\gamma_{n-2}}{d_{n}}\right\}.$$

Setting  $d_k = d^k (k!)^{\alpha/3} \neq 0$ , for some d > 0, brings

$$||\mathbf{H}_n||_S \leq 2^{\alpha/3} \left(d + \frac{c}{d^2}\right) n^{\alpha/3} + o(n^{\alpha/3}) \quad \text{as} \quad n \to +\infty.$$

The choice of  $d = (2c)^{1/3}$  provides a minimum to  $\left(d + \frac{c}{d^2}\right)$  and this gives

$$||\mathbf{H}_n||_{\mathcal{S}} \leq rac{3}{4^{1/3}} \left( c \ n^{lpha} 
ight)^{1/3} + o(n^{lpha/3}) \ \ \text{as} \ \ n \to +\infty.$$

#### Definition

A monic 2-OPS  $\{P_n\}_{n\geq 0}$  is "classical" in Hahn's sense when the sequence of its derivatives  $\{Q_n\}_{n\geq 0}$ , with

$$Q_n(x)=\frac{1}{n+1}P'_{n+1}(x)$$

is also a 2-OPS.

Hence, as a monic 2-OPS, the sequence  $\{Q_n\}_{n\geq 0}$  satisfies a third order recurrence relation:

$$Q_{n+1}(x) = (x - \widetilde{\beta}_n)Q_n(x) - \widetilde{\alpha}_n Q_{n-1}(x) - \widetilde{\gamma}_{n-1}Q_{n-2}(x), \ n \ge 2,$$
(7)  
with  $Q_0 = 1$ ,  $Q_1(x) = x - \widetilde{\beta}_0$  and  $Q_2(x) = (x - \widetilde{\beta}_1)Q_1(x) - \widetilde{\alpha}_1.$ 

### "Classical" 2-orthogonal polynomials

Between the two recurrence relations

$$\begin{aligned} P_{n+1}(x) &= (x - \beta_n) P_n(x) - \alpha_n P_{n-1}(x) - \gamma_{n-1} P_{n-2}(x) \\ Q_{n+1}(x) &= (x - \widetilde{\beta}_n) Q_n(x) - \widetilde{\alpha}_n Q_{n-1}(x) - \widetilde{\gamma}_{n-1} Q_{n-2}(x), \ n \geq 2, \end{aligned}$$

it follows a nonlinear system of equations

$$\begin{aligned} &(n+2)\widetilde{\beta}_{n} - n\widetilde{\beta}_{n-1} = (n+1)\beta_{n+1} - (n-1)\beta_{n} \\ &(n+3)\widetilde{\alpha}_{n+1} - (n+1)\widetilde{\alpha}_{n} = (n+2)\gamma_{n+2} - (n-1)\alpha_{n+2} + (n+1)(\beta_{n+1} - \widetilde{\beta}_{n})^{2} \\ &(n+4)\widetilde{\gamma}_{n+1} - (n+2)\widetilde{\gamma}_{n} = (n+1)\gamma_{n+2} - (n-1)\gamma_{n+1} \\ &+ (n+1)\alpha_{n+2}(\beta_{n+2} + \beta_{n+1} - 2\widetilde{\beta}_{n}) - (n+2)\widetilde{\alpha}_{n+1}(2\beta_{n+2} - \widetilde{\beta}_{n+1} - \widetilde{\beta}_{n}) \\ &n\alpha_{n+1}\alpha_{n+2} + (n+2)\widetilde{\alpha}_{n}\widetilde{\alpha}_{n+1} - 2(n+1)\widetilde{\alpha}_{n}\alpha_{n+2} \\ &= (n+2)\widetilde{\gamma}_{n}(2\beta_{n+2} - \beta_{n+1} - \beta_{n-1}) - n\gamma_{n+1}(\beta_{n+2} + \beta_{n} - 2\widetilde{\beta}_{n-1}) \\ &n(\alpha_{n+1}\gamma_{n+2} + \alpha_{n+3}\gamma_{n+1}) = \widetilde{\gamma}_{n} \begin{pmatrix} 2(n+2)\alpha_{n+3} - (n+3)\widetilde{\alpha}_{n+2} \\ + \widetilde{\alpha}_{n} \begin{pmatrix} 2(n+1)\gamma_{n+2} - (n+3)\widetilde{\gamma}_{n+1} \end{pmatrix} \\ &+ \widetilde{\alpha}_{n} \begin{pmatrix} 2(n+2)\gamma_{n+3} - (n+4)\widetilde{\gamma}_{n+2} \end{pmatrix} \end{aligned}$$

### "Classical" 2-orthogonal polynomials

On the other hand, the 2-orthogonality of  $\{P_n\}_{n\geq 0}$  for  $U = (u_0, u_1)$ and the 2-orthogonality of  $\{Q_n\}_{n\geq 0}$  for  $V = (v_0, v_1)$  implies

$$\begin{bmatrix} v_0 \\ v_1 \end{bmatrix} = \mathbf{\Phi} \begin{bmatrix} u_0 \\ u_1 \end{bmatrix}$$
(8)

and also that

$$\begin{bmatrix} v_0' \\ v_1' \end{bmatrix} = -\Psi \begin{bmatrix} u_0 \\ u_1 \end{bmatrix}.$$
 (9)

with

$$\mathbf{\Phi} = \left[ \begin{array}{cc} \phi_{0,0} & \phi_{0,1} \\ \phi_{1,0} & \phi_{1,1} \end{array} \right] \qquad \text{and} \qquad \mathbf{\Psi} = \left[ \begin{array}{cc} 0 & 1 \\ \psi(x) & \zeta \end{array} \right]$$

where  $\psi(x) = rac{2}{\gamma_1} P_1(x)$  and  $\zeta = -rac{2lpha_1}{\gamma_1}$ ,

whilst  $\deg\{\phi_{0,0},\phi_{0,1},\phi_{1,1}\} \leq 1$  and  $\deg\phi_{1,0} \leq 2$ .

**Theorem.** (Maroni& Douak'92, Maroni'99) The monic 2-OPS  $\{P_n\}_{n\geq 0}$  for  $U = (u_0, u_1)$  is "classical" iff there are polynomials  $\psi$  and  $\phi_{i,j}$ , with  $i, j \in \{0, 1\}$ , and a constant  $\zeta$  such that

$$\left(\left[\begin{array}{cc}\phi_{0,0}&\phi_{0,1}\\\phi_{1,0}&\phi_{1,1}\end{array}\right]\left[\begin{array}{c}u_{0}\\u_{1}\end{array}\right]\right)'+\left[\begin{array}{cc}0&1\\\psi(x)&\zeta\end{array}\right]\left[\begin{array}{c}u_{0}\\u_{1}\end{array}\right]=\left[\begin{array}{c}0\\0\end{array}\right]$$
(10)

where  $\deg\{\phi_{0,0}, \phi_{0,1}, \phi_{1,1}\} \leq 1$ ,  $\deg \phi_{1,0} \leq 2$  and  $\deg \psi = 1$ .

Relation (11a) reads as follows

$$\left( \mathbf{\Phi} \left[ \begin{array}{c} u_0 \\ u_1 \end{array} \right] \right)' + \mathbf{\Psi} \left[ \begin{array}{c} u_0 \\ u_1 \end{array} \right] = \left[ \begin{array}{c} 0 \\ 0 \end{array} \right]$$

If  $\{P_n\}_{n \ge 0}$  is three-fold symmetric, then so is  $\{Q_n\}_{n \ge 0}$  where

$$Q_n(x) \coloneqq \frac{1}{n+1} P'_{n+1}(x), \ n \ge 0.$$

This means that for a *three-fold symmetric Hahn-classical polynomial sequence*  $\{P_n\}_{n\geq 0}$  then  $\{Q_n\}_{n\geq 0}$  is three-fold and satisfies

$$Q_{n+1}(x) = xQ_n(x) - \widetilde{\gamma}_{n-1}Q_{n-2}, \quad \text{for} \quad n \ge 2,$$

with initial conditions  $Q_k(x) = x^k$  for k = 0, 1, 2.

in this case we have

**Theorem.** (AL&Van Assche'18) Let  $\{P_n(x)\}_{n\geq 0}$  be a three-fold symmetric 2-OPS for  $(u_0, u_1)$ . The following are equivalent:

- (a)  $\{P_n(x)\}_{n\geq 0}$  is a three-fold symmetric "classical" 2-orthogonal polynomial sequence.
- (b) The vector functional  $(u_0, u_1)$  satisfies the matrix differential equation

$$\left( \mathbf{\Phi} \begin{bmatrix} u_0 \\ u_1 \end{bmatrix} \right)' + \mathbf{\Psi} \begin{bmatrix} u_0 \\ u_1 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$
(11a)

where

$$\Phi = \begin{bmatrix} \vartheta_1 & (1 - \vartheta_1)x \\ \frac{2}{\gamma_1}(1 - \vartheta_2)x^2 & 2\vartheta_2 - 1 \end{bmatrix} \text{ and } \Psi = \begin{bmatrix} 0 & 1 \\ \frac{2}{\gamma_1}x & 0 \end{bmatrix} (11b)$$

for some constants  $\vartheta_1 = \frac{3\tilde{\gamma}_1}{\gamma_2}$  and  $\vartheta_2 = \frac{2\tilde{\gamma}_2}{\gamma_3}$  such that  $\vartheta_1, \vartheta_2 \neq \frac{n-1}{n}$ . (c) There exists a sequence of numbers  $\{\tilde{\gamma}_{n+1}\}_{n\geq 0}$  such that

$$P_{n+3}(x) = Q_{n+3}(x) + ((n+1)\gamma_{n+2} - (n+3)\widetilde{\gamma}_{n+1})Q_n(x)$$
(12)

with initial conditions  $P_k(x) = Q_k(x) = x^k$  for k = 0, 1, 2.

**Proof.** (a)  $\Rightarrow$  (c): consequence of the rec. rel. of  $\{P_n\}_{n\geq 0}$  and  $\{Q_n\}_{n\geq 0}$ . (c)  $\Rightarrow$  (b): If  $\{u_n\}_{n\geq 0}$  and  $\{v_n\}_{n\geq 0}$  are the dual sequences of  $\{P_n\}_{n\geq 0}$  and  $\{Q_n\}_{n\geq 0}$ , resp., then

$$v'_n = -(n+1)u_{n+1} \tag{13}$$

$$v_n = u_n + ((n+1)\gamma_{n+2} - (n+3)\widetilde{\gamma}_{n+1})u_{n+3}.$$
 (14)

The 2-orthogonality of  $\{P_n\}_{n\geq 0}$  implies

$$u_2 = \frac{x}{\gamma_1} u_0, \quad u_3 = -\frac{1}{\gamma_2} u_0 + \frac{x}{\gamma_2} u_1, \quad u_4 = \frac{x^2}{\gamma_1 \gamma_3} u_0 - \frac{1}{\gamma_3} u_1$$

If we take n = 0 and n = 1 in (13) we obtain

$$\left[\begin{array}{c} v_0'\\ v_1' \end{array}\right] = \left[\begin{array}{cc} 0 & 1\\ \frac{2}{\gamma_1} x & 0 \end{array}\right] \left[\begin{array}{c} u_0\\ u_1 \end{array}\right]$$

With n = 0 and n = 1 in (14) leads to

$$\begin{bmatrix} v_0 \\ v_1 \end{bmatrix} = \begin{bmatrix} \vartheta_1 & (1-\vartheta_1)x \\ \frac{2}{\gamma_1}(1-\vartheta_2) x^2 & 2\vartheta_2 - 1 \end{bmatrix} \begin{bmatrix} u_0 \\ u_1 \end{bmatrix}$$

#### Proof. (cont.)

The proof of (b)  $\Rightarrow$  (a) is essentially about showing that  $\{Q_n\}_{n\geq 0}$  is 2-orthogonal with respect to

$$\begin{bmatrix} v_0 \\ v_1 \end{bmatrix} = \begin{bmatrix} \vartheta_1 & (1-\vartheta_1)x \\ \frac{2}{\gamma_1}(1-\vartheta_2) x^2 & 2\vartheta_2 - 1 \end{bmatrix} \begin{bmatrix} u_0 \\ u_1 \end{bmatrix}$$

 $\square$ 

#### Proof. (cont.)

The proof of (b)  $\Rightarrow$  (a) is essentially about showing that  $\{Q_n\}_{n\geq 0}$  is 2-orthogonal with respect to

$$\begin{bmatrix} v_0 \\ v_1 \end{bmatrix} = \begin{bmatrix} \vartheta_1 & (1-\vartheta_1)x \\ \frac{2}{\gamma_1}(1-\vartheta_2) x^2 & 2\vartheta_2 - 1 \end{bmatrix} \begin{bmatrix} u_0 \\ u_1 \end{bmatrix}$$

The Pearson equation

$$\left( \mathbf{\Phi} \left[ \begin{array}{c} u_0 \\ u_1 \end{array} \right] \right)' + \mathbf{\Psi} \left[ \begin{array}{c} u_0 \\ u_1 \end{array} \right] = \left[ \begin{array}{c} 0 \\ 0 \end{array} \right]$$

gives

$$\widetilde{\gamma}_n = \frac{n}{n+2}\vartheta_n\gamma_{n+1}$$

with

$$\vartheta_{2n+1} = \left(\frac{1 - (n+1)(1 - \vartheta_1)}{1 - n(1 - \vartheta_1)}\right) \quad \text{and} \quad \vartheta_{2n+2} = \left(\frac{1 - (n+1)(1 - \vartheta_2)}{1 - n(1 - \vartheta_2)}\right).$$
(15)

If we replace each P in

$$xP_n = P_{n+1} + \gamma_{n-1}P_{n-2}$$

by the corresponding expression given in

$$P_{n+3}(x) = Q_{n+3}(x) + ((n+1)\gamma_{n+2} - (n+3)\widetilde{\gamma}_{n+1})Q_n(x)$$

to then use the recurrence relation

$$XQ_n = Q_{n+1} + \widetilde{\gamma}_{n-1}Q_{n-2}$$
 where  $\widetilde{\gamma}_{n-1} = \frac{n-1}{n+1}\vartheta_{n-1}\gamma_n$ 

we obtain

$$\vartheta_{n+2}+\frac{1}{\vartheta_n}=2, \ n\geqslant 1,$$

and

$$\gamma_{n+2} = \frac{n+3}{n+1} \frac{\left(n(\vartheta_n-1)+1\right)}{\left((n+4)(\vartheta_{n+1}-1)+1\right)} \gamma_{n+1} \neq 0$$

### Lemma (Douak&Maroni'97)

If a 2-symmetric 2-OPS  $\{P_n\}_{n\geq 0}$  is "classical", then each polynomial is a solution of the third order differential equation

$$(a_n x^3 - b_n) P_{n+1}^{\prime\prime\prime} + c_n x^2 P_{n+1}^{\prime\prime} + d_n x P_{n+1}^{\prime} = e_n P_{n+1}$$

where

with  $a_0 = b_0 = c_0 = d_0 = e_0 = 0$ .

Here

$$\vartheta_{2n+1} = \left(\frac{1 - (n+1)(1 - \vartheta_1)}{1 - n(1 - \vartheta_1)}\right) \text{ and } \vartheta_{2n+2} = \left(\frac{1 - (n+1)(1 - \vartheta_2)}{1 - n(1 - \vartheta_2)}\right)$$

**Proposition.** (AL & Van Assche'18) The 2-OPS  $\{P_n(x)\}_{n\geq 0}$  with respect to the vector linear functional  $\mathbf{U} = (u_0, u_1)$  satisfy the Hahn's property if and only if there are coefficients  $\vartheta_1, \vartheta_2 \neq \frac{n-1}{n}$ , such that  $\mathbf{U} = (u_0, u_1)$  satisfies

$$\left(\phi(x)u_{0}\right)'' + \left(\frac{2}{\gamma_{1}}(\vartheta_{2} + \vartheta_{1} - 2)x^{2}u_{0}\right)' + \frac{2}{\gamma_{1}}(\vartheta_{1} - 2)xu_{0} = 0 \quad (16)$$

and

$$\begin{cases} (\vartheta_1 - 2) (2\vartheta_2 - 1) u_1 = \phi(x) u'_0 - \frac{2}{\gamma_1} (\vartheta_1 - 1) (2\vartheta_2 - 3) x^2 u_0, & \text{if} \quad \vartheta_1 \neq 2, \\ x u'_1 = 2u'_0, & \text{if} \quad \vartheta_1 = 2, \end{cases}$$

where

$$\phi(\mathbf{x}) = \left(\vartheta_1 \left(2\vartheta_2 - 1\right) - \frac{2}{\gamma_1} \left(\vartheta_1 - 1\right) \left(\vartheta_2 - 1\right) \mathbf{x}^3\right). \tag{17}$$

and from this we have

**Theorem.** (AL & Van Assche'18) For a "classical" threefold symmetric  $\{P_n\}_{n\geq 0}$  2-orthogonal with respect to  $(u_0, u_1)$  and satisfying the rec. rel. with  $\gamma_{n+1} > 0$ :

$$egin{aligned} &\langle u_k, f(x)
angle\ &=rac{1}{3}\left(\int_0^b f(x)\mathcal{U}_k(x)\mathrm{d}x+\omega^{2k-1}\int_0^{b\omega}f(x)\mathcal{U}_k(\omega^2x)\mathrm{d}x+\omega^{1-2k}\int_0^{b\omega^2}f(x)\mathcal{U}_k(\omega x)\mathrm{d}x
ight), \end{aligned}$$

with  $\omega = e^{2\pi i/3}$  and  $b = \lim_{n \to \infty} \left(\frac{27}{4}\gamma_n\right)$ , provided that  $\mathcal{U}_0(x)$  and  $\mathcal{U}_1(x)$ 

**Theorem.** (AL & Van Assche'18) For a "classical" threefold symmetric  $\{P_n\}_{n\geq 0}$  2-orthogonal with respect to  $(u_0, u_1)$  and satisfying the rec. rel. with  $\gamma_{n+1} > 0$ :

$$egin{aligned} &\langle u_k, f(x) 
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ight), \end{aligned}$$

with  $\omega = e^{2\pi i/3}$  and  $b = \lim_{n \to \infty} \left(\frac{27}{4}\gamma_n\right)$ , provided that  $\mathcal{U}_0(x)$  and  $\mathcal{U}_1(x)$ 

$$\begin{cases} \left(\phi(x)\mathcal{U}_{0}(x)\right)^{\prime\prime} + \left(\frac{2(\vartheta_{2}+\vartheta_{1}-2)}{\gamma_{1}}x^{2}\mathcal{U}_{0}(x)\right)^{\prime} + \frac{2(\vartheta_{1}-2)}{\gamma_{1}}x\mathcal{U}_{0}(x) = \lambda_{0}g_{0}(x) \\ (\vartheta_{1}-2)\left(2\vartheta_{2}-1\right)\mathcal{U}_{1}(x) = \phi(x)\mathcal{U}_{0}^{\prime}(x) - \frac{2(\vartheta_{1}-1)(2\vartheta_{2}-3)}{\gamma_{1}}x^{2}\mathcal{U}_{0}(x) + \lambda_{1}g_{1}(x) \\ x\mathcal{U}_{1}^{\prime}(x) = 2\mathcal{U}_{0}^{\prime}(x) \quad \text{if} \quad \vartheta_{1} = 2 \end{cases}$$

with 
$$\phi(x) = \left(\vartheta_1 \left(2\vartheta_2 - 1\right) - \frac{2(\vartheta_1 - 1)(\vartheta_2 - 1)}{\gamma_1}x^3\right)$$
, satisfying  
$$\boxed{\lim_{x \to b} f(x) \frac{\mathrm{d}^k}{\mathrm{d}x^k} \mathcal{U}_0(x) = 0, \quad \text{and} \quad \int_0^b \mathcal{U}_0(x) \mathrm{d}x = 1}$$

There are four cases to single out:

**Case A**:  $\vartheta_1 = \vartheta_2 = 1$ . This implies that  $\vartheta_n = 1$  for all  $n \ge 0$ . **Case B**<sub>1</sub>:  $\vartheta_1 \ne 1$  but  $\vartheta_2 = 1$  so that by setting  $\vartheta_1 = \frac{\mu+2}{\mu+1}$  it follows

$$artheta_{2n-1}=rac{n+\mu+1}{n+\mu} \quad ext{and} \quad artheta_{2n}=1 \ , \quad n\geq 1.$$

**Case B**<sub>2</sub>:  $\vartheta_1 = 1$  but  $\vartheta_2 \neq 1$  so that by setting  $\vartheta_2 = \frac{\rho+2}{\rho+1}$  it follows

$$artheta_{2n-1}=1 \quad ext{and} \quad artheta_{2n}=rac{n+
ho+1}{n+
ho} \ , \quad n\geq 1$$

**Case C**:  $\vartheta_1 \neq 1$  and  $\vartheta_2 \neq 1$  and hence by setting  $\vartheta_1 = \frac{\mu+2}{\mu+1}$  and  $\vartheta_2 = \frac{\rho+2}{\rho+1}$  it follows

$$\vartheta_{2n-1} = rac{n+\mu+1}{n+\mu} \quad ext{and} \quad \vartheta_{2n} = rac{n+
ho+1}{n+
ho} \;, \quad n \geq 1.$$

# Case A: Appell polynomials

In this case we have  $Q_n(x) := \frac{1}{n+1}P'_{n+1}(x) = P_n(x)$ . Additionally

$$\gamma_{n+1} = (n+1)(n+2)\frac{\gamma_1}{2},$$
 and 
$$\begin{cases} u_0'' - \frac{2}{\gamma_1} x \ u_0 = 0 \\ u_1 = -u_0' \end{cases}$$

With the choice  $\gamma_1 = 2$ , it follows that

$$\gamma_{n+1} = (n+1)(n+2),$$
 and  $\begin{cases} u_0'' - x \ u_0 = 0 \\ u_1 = -u_0' \end{cases}$ 

and

$$-P_{n+1}^{\prime\prime\prime}(x) + xP_{n+1}^{\prime}(x) = nP_{n+1}(x), \ n \ge 0.$$

**Remark.** The polynomials appear in the Vorob'ev-Yablonski polynomials associated with rational solutions of Painlevé II equations (Clarkson & Mansfield'03)

### Case A: Appell polynomials

Integral representation

#### (AL&Van Assche)

$$\langle u_0, f \rangle = \int_{\Gamma} f(x) W_0(x) dx$$
, for all  $f \in \mathcal{P}$ ,  
 $\langle u_1, f \rangle = \int_{\Gamma} f(x) W_1(x) dx$ , for all  $f \in \mathcal{P}$ ,

where  $W_0: \Gamma = \Gamma_0 \cup \Gamma_1 \cup \Gamma_2 \longrightarrow \mathbb{R}$  defined by

$$W_0(x) = \operatorname{Ai}(x)\mathbb{I}_{\Gamma_0} - e^{-2\pi i/3}\operatorname{Ai}(e^{-2\pi i/3}x)\mathbb{I}_{\Gamma_1} - e^{2\pi i/3}\operatorname{Ai}(e^{2\pi i/3}x)\mathbb{I}_{\Gamma_2}$$
  
with  $\Gamma_k = \left\{w: \operatorname{arg}(w) = \frac{2k\pi}{3}\right\}$ , with  $k = 0, 1, 2,$ 

where the orientations of I  $_k$  are all taken from left to right  $\checkmark$ 

# Plot of the zeros of $P_{30}$ , $P_{31}$ and $P_{32}$



#### Remarks.

- All the zeros of  $P_n(x)$  are located on  $\Gamma_0 \cup \Gamma_1 \cup \Gamma_2$
- In each  $\Gamma_k$ , between two zeros of  $P_{n+2}$  there is one zero of  $P_n$  and  $P_{n+1}$ .

# Case $B_1$

Here we have

$$\begin{split} \gamma_{2n} &= \frac{n(2n+1)(n+\mu)(\mu+2)}{(3n+\mu-1)(3n+\mu+2)} \gamma_1 = \frac{2\gamma_1(\mu+2)}{9} n + o(n), \quad n \ge 1, \\ \gamma_{2n+1} &= \frac{(n+1)(2n+1)(\mu+2)}{(3n+\mu+2)} \gamma_1 = \frac{2\gamma_1(\mu+2)}{3} n + o(n), \quad n \ge 0, \end{split}$$

• For  $\mu > 0$ , then  $\gamma_n > 0$  for all  $n \ge 1$ .

• The largest real zero  $x_{n,n}^{(j)}$  of  $P_{3n+j}$  is bounded from above by



### Case $B_1$ : the zeros of $P_n$



Figure: Zeros of  $P_{34}(x;\mu)$  (circle),  $P_{35}(x;\mu)$  (star) and  $P_{36}(x;\mu)$  (square) with  $\mu = 3$ , where  $P_n(x;\mu)$  is the 2-OPS studied in case B1.

With the choice of  $\gamma_1=$ 2, when  $\mu>0$ 

$$\begin{cases} \frac{1}{3}u_0'' + x^2u_0' - (\mu - 2)xu_0 = 0\\ \\ u_1 = -\frac{(\mu+2)}{\mu} \left(u_0' + 3x^2u_0\right). \end{cases}$$

and for  $\mu = 0$ :

$$\begin{aligned} u_0' + 3x^2 u_0 &= 0 \\ xu_1' &= 2u_0' \end{aligned}$$

# Case $B_1$

### Theorem (AL & Van Assche)

The linear 3-fold symmetric 2-orthogonal vector functional  $(u_0, u_1)$  admit the following integral representation:

$$\langle u_k, f(x) 
angle = rac{1}{3} \left( \int_0^\infty f(x) \mathcal{U}_k(x) \mathrm{d}x + \omega^{2k-1} \int_0^\infty f(x) \mathcal{U}_k(\omega^2 x) \mathrm{d}x + \omega^{1-2k} \int_0^\infty f(x) \mathcal{U}_k(\omega x) \mathrm{d}x 
ight),$$

with k = 0, 1 and

$$\begin{aligned} \mathcal{U}_{0}(x) &\coloneqq \mathcal{U}_{0}(x;\mu) = \frac{3\Gamma(\frac{\mu+2}{3})}{\Gamma(\frac{1}{3})\Gamma(\frac{2}{3})} e^{-x^{3}} \mathbf{U}(\frac{\mu}{3},\frac{2}{3};x^{3}), \\ \mathcal{U}_{1}(x) &\coloneqq \mathcal{U}_{1}(x;\mu) = \frac{9\Gamma(\frac{\mu+5}{3})}{\Gamma(\frac{1}{3})\Gamma(\frac{2}{3})} x^{2} e^{-x^{3}} \mathbf{U}(\frac{\mu}{3}+1,\frac{5}{3},x^{3}), \quad \text{for} \quad \mu \neq 0 \\ \mathcal{U}_{1}(x;0) &= 3\sqrt{3}\Gamma(\frac{2}{3}) \Gamma(\frac{2}{3},x^{3}) \end{aligned}$$

Here

$$U(a, b; x) = \frac{1}{\Gamma(a)} \int_0^\infty t^{a-1} (t+1)^{-a+b-1} e^{-tx} dt$$
 and  $U(0, b; x) = 1$ 

#### case $B_1$ : proof of the integral representation

*Proof (idea).* We seek an integral representation for  $u_0$ , that is, we seek a weight function  $U_0(x)$  and a path C so that

$$< u_0, f(x) >= \int_{\mathcal{C}} f(x) \mathcal{U}_0(x) \mathrm{d}x,$$

is valid for any polynomial f. In particular, we must have

$$< u_0, x^n > = \int_{\mathcal{C}} x^n \mathcal{U}_0(x) \mathrm{d}x, \ n \ge 0.$$

The functional equation  $(\mu + 2)u_0'' + x^2u_0' - (\mu - 2)xu_0 = 0$  implies that  $\mathcal{U}_0$  must be a solution of the differential equation

$$(\mu+2)\mathcal{U}_0^{\prime\prime}+x^2\mathcal{U}_0^\prime-(\mu-2)x\mathcal{U}_0=\lambda g(x)$$

where  $\lambda$  is a complex constant and g(x) is a function such that

$$\int_{\mathcal{C}} x^n g(x)(x) \mathrm{d} x = 0, \ n \ge 0.$$

With  $\lambda = 0$ , it follows that

$$\mathcal{U}_{0}(x) = c_{1} {}_{1}F_{1}\left(\frac{2-\mu}{3},\frac{2}{3};t\right) + c_{2}t^{1/3} {}_{1}F_{1}\left(1-\frac{\mu}{3},\frac{4}{3};t\right)$$

The choice of the constants  $c_1$  and  $c_2$  as well as the path of integration is dictated by the conditions

$$< u_0, x^n >= \int_{\mathcal{C}} x^n \mathcal{U}_0(x) \mathrm{d}x, \ n \ge 0,$$
  
and  $\left[ (\mu+2) \left( f'(x) - f(x) \right) \mathcal{U}'_0(x) - x^2 f(x) \mathcal{U}_0(x) \right] \Big|_{\mathcal{C}} = 0, \text{ for any } f \in \mathcal{P}.$ 

From DLMF (relations (13.2.39) and (13.2.41)) we deduce

$$e^{-z} \mathbf{U}(a, b, z) = \frac{\Gamma(1-b)}{\Gamma(a-b+1)} {}_{1}F_{1}(b-a, b; -z) + \frac{\Gamma(b-1)}{\Gamma(a)} z^{1-b} {}_{1}F_{1}(1-a, 2-b; -z)$$

which are valid when b is not an integer.

Thus, with 
$$c_1 = \frac{\Gamma(\frac{1}{3})}{\Gamma(\frac{1+\mu}{3})}K$$
 and  $c_2 = \frac{-\mu}{\Gamma(\frac{2}{3})}K$  and  $C = \Gamma$ , the result follows.

### Case $B_1$ with $\mu = 1$

The particular choice of  $\mu = 1$  produces

$$\begin{split} \gamma_{2n} &= rac{2}{9}(2n+1)(\mu+2), \quad n \geq 1, \ \gamma_{2n+1} &= rac{2}{3}(2n+1)(\mu+2), \quad n \geq 0, \end{split}$$

whilst the weight functions become

$$\begin{aligned} \mathcal{U}_{0}(x;1) &= \frac{\sqrt{x}}{2\sqrt{3}\pi^{3/2}} \ e^{-\frac{x^{3}}{18}} \ \mathcal{K}_{\frac{1}{6}}\left(\frac{x^{3}}{18}\right) \\ \mathcal{U}_{1}(x;1) &= \frac{x^{2}}{4\sqrt{3}\pi^{3/2} \left(x^{3}\right)^{5/6}} \ e^{-\frac{x^{3}}{16}} \left(\left(x^{3}+6\right) \mathcal{K}_{\frac{1}{6}}\left(\frac{x^{3}}{18}\right) - x^{3} \mathcal{K}_{\frac{7}{6}}\left(\frac{x^{3}}{18}\right)\right) \end{aligned}$$

where  $K_{\nu}(z)$  represents the modified Bessel function of second kind.

With  $\mu = 2$ , we have

$$egin{aligned} &\gamma_{2n}=rac{4n(2n+1)(n+2)}{(3n+1)(3n+4)}\gamma_1, \quad n\geq 1, \ &\gamma_{2n+1}=rac{4(n+1)(2n+1)}{(3n+4)}\gamma_1, \quad n\geq 0, \end{aligned}$$

whilst the integral representation becomes

$$\begin{aligned} \mathcal{U}_0(x;2) &= \frac{\sqrt{3} \, \Gamma(\frac{4}{3})}{2 \, \pi \, 3^{\frac{1}{3}} 4^{\frac{1}{3}}} \, \Gamma\left(\frac{1}{3}, \frac{1}{12} x^3\right) \\ \mathcal{U}_1(x;2) &= \frac{\sqrt[6]{3} \Gamma\left(\frac{4}{3}\right)}{\sqrt[3]{4\pi}} \left(\frac{1}{2} x^2 \, \Gamma\left(\frac{1}{3}, \frac{1}{12} x^3\right) - \sqrt[3]{18} \, \mathrm{e}^{-\frac{x^3}{12}}\right) \end{aligned}$$

where  $\Gamma(\alpha, z)$  represents the incomplete Gamma function:  $\Gamma(\alpha, z) = \int_{z}^{+\infty} t^{\alpha-1} e^{-t} dt$  provided that  $\alpha > 0$ .

### case $B_1$ - differential equation

3rd order differential equation:

$$\begin{aligned} &-\gamma_1(\mu+2)P_n'''(x)+2x^2P_n''(x)+2x\left(\mu+\frac{3}{4}\left((-1)^n+3\right)-\frac{n}{2}\right)P_n'(x)\\ &=2n\left(\mu+\frac{n}{2}+\frac{3(-1)^n}{4}+\frac{5}{4}\right)P_n(x)\end{aligned}$$

from which we deduce

$$P_{n}^{[0]}(x;\mu) = \frac{(-1)^{n}(3\mu+6)^{n}\left(\frac{1}{3}\right)_{n}\left(\frac{2}{3}\right)_{n}}{\left(\frac{n}{2}+\frac{(-1)^{n}}{4}+\frac{\mu}{3}+\frac{5}{12}\right)_{n}}{2}F_{2}\left(-n,\frac{2\mu+3n}{6}+\frac{(-1)^{n}}{4}+\frac{5}{12};\frac{x}{3(\mu+2)}\right)$$

$$P_{n}^{[1]}(x;\mu) = \frac{(-1)^{n}(3\mu+6)^{n}\left(\frac{2}{3}\right)_{n}\left(\frac{4}{3}\right)_{n}}{\left(\frac{n}{2}+\frac{(-1)^{n+1}}{4}+\frac{\mu}{3}+\frac{11}{12}\right)_{n}}{2}F_{2}\left(-n,\frac{2\mu+3n}{6}+\frac{(-1)^{n+1}}{4}+\frac{11}{12};\frac{x}{3(\mu+2)}\right)$$

$$P_{n}^{[2]}(x;\mu) = \frac{(-1)^{n}(3\mu+6)^{n}\left(\frac{4}{3}\right)_{n}\left(\frac{5}{3}\right)_{n}}{\left(\frac{n}{2}+\frac{(-1)^{n}}{4}+\frac{\mu}{3}+\frac{17}{12}\right)_{n}}{2}F_{2}\left(-n,\frac{2\mu+3n}{6}+\frac{(-1)^{n}}{4}+\frac{17}{12};\frac{x}{3(\mu+2)}\right)$$

# Case $B_2$

In this case we have

$$egin{aligned} &\gamma_{2n} = rac{n(2n+1)(
ho+3)}{(3n+
ho)}\gamma_1, & n \geq 1, \ &\gamma_{2n+1} = rac{(n+1)(2n+1)(n+
ho)(
ho+3)}{(3n+
ho+3)(3n+
ho)}\gamma_1, & n \geq 0 \end{aligned}$$

With the choice of  $\gamma_1 = \frac{2}{3(\rho+3)}$ , we obtain

### Case $B_2$

In this case we have

$$egin{aligned} &\gamma_{2n} = rac{n(2n+1)(
ho+3)}{(3n+
ho)}\gamma_1, \quad n \geq 1, \ &\gamma_{2n+1} = rac{(n+1)(2n+1)(n+
ho)(
ho+3)}{(3n+
ho+3)(3n+
ho)}\gamma_1, \quad n \geq 0. \end{aligned}$$

With the choice of  $\gamma_1=\frac{2}{3(\rho+3)},$  we obtain

$$Q_n^{ ext{case B}_2}(x;\mu) = P_n^{ ext{case B}_1}(x;\mu+1), \quad ext{for all} \quad n \geq 0,$$

while

$$Q_n^{ ext{case B}_1}(x;\mu) = P_n^{ ext{case B}_2}(x;\mu+2), \quad ext{for all} \quad n\geq 0,$$

which brings

$$\frac{1}{(n+2)(n+1)}\frac{\mathrm{d}^2}{\mathrm{d}x^2}P_{n+2}(x;\mu)=P_n(x;\mu+3)$$

We set

$$\gamma_1 = \frac{2}{(\mu+2)(\rho+3)}$$

so that

$$\begin{split} \gamma_{2n} &:= \gamma_{2n}(\mu, \rho) = \frac{2n(2n+1)(n+\mu)}{(3n+\mu-1)(3n+\mu+2)(3n+\rho)}, \quad n \ge 1, \\ \gamma_{2n+1} &:= \gamma_{2n}(\mu, \rho) = \frac{2(n+1)(2n+1)(n+\rho)}{(3n+\mu+2)(3n+\rho)(3n+\rho+3)}, \quad n \ge 0. \end{split}$$

Besides, we have

$$\begin{pmatrix} (1-x^3) \ u_0'' + x^2(\mu + \rho - 4)u_0' - (\mu - 2)(\rho - 1)xu_0 = 0, \\ \\ \frac{\mu}{(\mu + 2)}u_1 = (x^3 - 1) \ u_0' - (\rho - 1)x^2 \ u_0, & \text{for} \quad \mu > -1, \\ \\ xu_1' = 2u_0', & \text{for} \quad \mu = 0. \end{cases}$$

Some of these are related to polynomials introduced by Pincherle (1890) and later extended by Humbert (1920), which were also related to  $_{3}F_{2}$  functions by Baker (1920).

# Case C: the weights

Here we have

$$\langle u_k, f(x) \rangle = \frac{1}{3} \left( \int_0^1 f(x) \mathcal{U}_k(x) \mathrm{d}x + \omega^{2k-1} \int_0^\omega f(x) \mathcal{U}_k(\omega^2 x) \mathrm{d}x + \omega^{1-2k} \int_0^{\omega^2} f(x) \mathcal{U}_k(\omega x) \mathrm{d}x \right)$$

with

$$\begin{aligned} \mathcal{U}_0(x) &:= & \mathcal{U}_0(x;\mu,\rho) \\ &= & \frac{3\Gamma\left(\frac{\mu+2}{3}\right)\Gamma\left(\frac{\rho}{3}+1\right)}{\Gamma\left(\frac{1}{3}\right)\Gamma\left(\frac{2}{3}\right)\Gamma\left(\frac{\mu+\rho+2}{3}\right)} (1-x^3)^{\frac{\mu+\rho-1}{3}} \, _2F_1\left(\frac{\frac{\mu}{3},\frac{\rho+1}{3}}{\frac{\mu+\rho+2}{3}};1-x^3\right), \end{aligned}$$

$$\begin{aligned} \mathcal{U}_{1}(x) & \coloneqq & \mathcal{U}_{1}(x;\mu,\rho) \\ & = & \frac{3\Gamma\left(\frac{\mu+5}{3}\right)\Gamma\left(\frac{\rho}{3}+1\right)}{\Gamma\left(\frac{2}{3}\right)\Gamma\left(\frac{4}{3}\right)\Gamma\left(\frac{\mu+\rho+2}{3}\right)} x^{2} (1-x^{3})^{\frac{\mu+\rho-1}{3}} {}_{2}F_{1}\left(\frac{\frac{\mu}{3}+1,\frac{\rho+1}{3}}{\frac{\mu+\rho+2}{3}};1-x^{3}\right) \end{aligned}$$

### Case C: known particular cases

**Humbert polynomials**: when  $\mu = \frac{3\nu - 1}{2}$  and  $\rho = \frac{3\nu}{2}$ , this 2-OPS satisfies

$$P_{n+2}(x;\frac{3\nu-1}{2},\frac{3\nu}{2}) = xP_{n+1}(x;\frac{3\nu-1}{2},\frac{3\nu}{2}) - \frac{4}{27}\frac{n(n+1)(3\nu+n-1)}{(\nu+n-1)(\nu+n)(\nu+n+1)}P_{n-1}(x;\frac{3\nu-1}{2},\frac{3\nu}{2})$$

"Chebyshev"-type polynomials: when  $\nu = 1 \Rightarrow (\mu, \rho) = (1, 3/2)$ :

$$P_{n+2}(x;1,\frac{3}{2}) = xP_{n+1}(x;1,\frac{3}{2}) - \frac{4}{27}P_{n-1}(x;1,\frac{3}{2})$$

and here

$$\begin{split} \mathcal{U}_0(x) &= \frac{9\sqrt{3}}{4\pi} \Bigg( \left(1 + \sqrt{1 - x^3}\right)^{1/3} - \left(1 - \sqrt{1 - x^3}\right)^{1/3} \Bigg) \\ \mathcal{U}_1(x) &= \frac{27\sqrt{3}}{8\pi} \Bigg( \sqrt{1 - x^3} \left[ \left(1 + \sqrt{1 - x^3}\right)^{2/3} - \left(1 - \sqrt{1 - x^3}\right)^{2/3} \right] \end{split}$$

# Case C: explicit expressions

$$P_{3n}(x;\mu,\rho) = \frac{(-1)^n \left(\frac{1}{3}\right)_n \left(\frac{2}{3}\right)_n}{\left(\frac{n}{2} + \frac{1}{4}(-1)^{3n} + \frac{\mu}{3} + \frac{5}{12}\right)_n \left(\frac{n}{2} - \frac{1}{4}(-1)^{3n} + \frac{\rho}{3} + \frac{1}{4}\right)_n}$$

$${}_3F_2 \begin{pmatrix} -n, \frac{n}{2} + \frac{1}{4}(-1)^{3n} + \frac{\mu}{3} + \frac{5}{12}, \frac{n}{2} - \frac{1}{4}(-1)^{3n} + \frac{\rho}{3} + \frac{1}{4}; x^3 \end{pmatrix}$$

$$P_{3n+1}(x;\mu,\rho) = x \frac{(-1)^n \left(\frac{2}{3}\right)_n \left(\frac{4}{3}\right)_n}{\left(\frac{n}{2} - \frac{1}{4}(-1)^{3n} + \frac{\mu}{3} + \frac{11}{12}\right)_n \left(\frac{n}{2} + \frac{1}{4}(-1)^{3n} + \frac{\rho}{3} + \frac{3}{4}\right)_n}$$

$${}_3F_2 \begin{pmatrix} -n, \frac{n}{2} - \frac{1}{4}(-1)^{3n} + \frac{\mu}{3} + \frac{11}{12}, \frac{n}{2} + \frac{1}{4}(-1)^{3n} + \frac{\rho}{3} + \frac{3}{4}; x^3 \end{pmatrix}$$

$$P_{3n+2}(x;\mu,\rho) = x^2 \frac{(-1)^n \left(\frac{4}{3}\right)_n \left(\frac{5}{3}\right)_n}{\left(\frac{n}{2} + \frac{1}{4}(-1)^{3n} + \frac{\mu}{3} + \frac{17}{12}\right)_n \left(\frac{n}{2} - \frac{1}{4}(-1)^{3n} + \frac{\rho}{3} + \frac{5}{4}\right)_n}$$

$${}_3F_2 \begin{pmatrix} -n, \frac{n}{2} + \frac{1}{4}(-1)^{3n} + \frac{\mu}{3} + \frac{17}{12}\right)_n \left(\frac{n}{2} - \frac{1}{4}(-1)^{3n} + \frac{\rho}{3} + \frac{5}{4}\right)_n}{\left(\frac{4}{3}, \frac{5}{3}\right)}$$

# Case C: zeros of $P_n(x; 3, 2)$



# THANK YOU!